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## **Congenital Cytomegalovirus Infection**

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**Background:** Congenital cytomegalovirus (cCMV) is the leading cause of nongenetic congenital hearing loss in much of the world and a leading cause of neurodevelopmental disabilities. Infected babies can be born to women who are seropositive and seronegative prior to pregnancy, and the incidence is approximately 0.6%-0.7% in the United States. Symptoms vary from mild to severe, and hearing loss can be delayed in onset and progressive.

**Methods:** We reviewed the literature to summarize the epidemiology, clinical manifestations, diagnosis, treatment, and future directions of cCMV.

**Results:** The best way to diagnose the infection is with polymerase chain reaction of urine or saliva within 3 weeks after birth, followed by a repeat confirmatory test if positive. Moderately to severely symptomatic neonates should be treated for 6 months with valganciclovir, and some practitioners also choose to treat infants who have isolated hearing loss only. Treatment is not recommended for asymptomatic infants. All infected infants should be screened for hearing loss and neurodevelopmental sequelae. Universal and targeted screening may be cost effective. Currently, no vaccine is commercially available, although multiple candidates are under study.

**Conclusion:** Congenitally acquired cytomegalovirus is found in all communities around the world with a disease burden that is greater than many other well-known diseases. Advances are being made in prevention and treatment; however, improved awareness of the disease among clinicians and patients is needed.

Keywords: Congenital cytomegalovirus, cytomegalovirus, cytomegalovirus infections, hearing loss, valganciclovir

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## INTRODUCTION

Cytomegalovirus (CMV) is the largest of the Herpesviridae<sup>1</sup> and is fairly ubiquitous in its distribution, infecting approximately half of the population in high-income countries by adulthood and nearly everyone by early childhood in low- and middle-income countries.<sup>2,3</sup> When it encounters a robust immune system, the virus often infects without causing many symptoms; most individuals who have been infected are unaware. The virus makes the biggest impact when it encounters immature or compromised immune systems, as in developing fetuses or immunocompromised persons.<sup>4</sup>

This review focuses on congenital infection with CMV (cCMV), a disease that causes more cases of permanent disability than better-known conditions such as Down syndrome and spina bifida and is the leading cause of nongenetic congenital hearing loss in high-income countries.<sup>5</sup>

## **EPIDEMIOLOGY**

CMV has found its way to every part of world. While disproportionately prevalent in low- and middle-income countries and in crowded communities with few resources, CMV affects people from all backgrounds and geographic locations.<sup>6</sup> In the United States, approximately 0.6%-0.7%

of newborns are born congenitally infected with CMV.<sup>7</sup> This number is likely higher in low- and middle-income countries.<sup>2,8</sup> Of infected infants, approximately 10% are symptomatic at birth, and approximately half experience long-term sequelae. Among the infants with cCMV who are asymptomatic at birth, an estimated 10%-15% will develop long-term sequelae.<sup>2</sup> The most common long-term sequela is hearing loss.<sup>2,5,9</sup>

An infant may be infected following primary infection (a pregnant woman seroconverts during pregnancy) or following nonprimary infection (the mother has a recurrence of an existing infection or reinfection during pregnancy). 10,11 In the United States, approximately half of women <45 years of age are seronegative, while in low-income countries, almost all people are CMV seropositive by a very young age.<sup>7,8</sup> The rate of transmission to neonates from a woman who has primary infection during pregnancy is approximately 30%, while the transmission rate from women who have nonprimary infection has been estimated to be approximately 1%, 12 although the nonprimary infection estimate is limited by inconsistent studies and the likely variability of transmission risk among populations.<sup>11</sup> While the transmission rate is much higher in women who have primary infection in pregnancy vs those with nonprimary infection, because

the risk of seroconversion in pregnancy is only approximately 2%, the majority of cCMV-infected infants worldwide, including in the United States, are born to mothers who have nonprimary infections during pregnancy. From one-half to three-quarters of all congenitally infected infants are born to nonprimarily infected mothers in low seroprevalence settings, while in high seroprevalence settings, maternal nonprimary infection accounts for almost all congenitally infected infants. 10,13 Thus, even though the risk of infection to the fetus is thought to be higher in cases of primary infection during pregnancy, communities with high seropositivity will tend to have high cCMV infection rates.

CMV is transmitted through secretions; high loads of virus are demonstrated in saliva, urine, cervicovaginal secretions, and semen.14 Children <5 years of age, especially children <2 years of age, appear to be a particularly important nidus of primary infection for women because of their frequent exposure to children's saliva and to urine from wet diapers. 15-18 A study from Brazil published in 2018 by Barbosa et al suggested that exposure to young children in the household also increased the risk for nonprimary congenital infection.<sup>19</sup> Younger age is associated with significantly higher viral loads in saliva and urine. Young children in daycare seem to be at particular risk for shedding the virus. 13,20 Sexual transmission may also be a source of infection in women.21 Finally, evidence indicates that women and infants can pass the virus back and forth through breastfeeding.<sup>22</sup>

CMV disproportionately affects certain communities more than others. Studies have shown that CMV seroprevalence is positively associated with poverty and poor socioeconomic indicators.<sup>23</sup> A large study examining cause of death for the vears 1990-2006 showed that Native American and African American babies were 2.34 and 1.89 times more likely to die from cCMV, respectively, compared to white babies.5 The greatest cCMV mortality is seen in the southern United States.<sup>24</sup> Fowler et al found that African American babies also are disproportionately infected, even after adjusting for maternal age and socioeconomic status.<sup>25</sup> Risk factors for cCMV differ depending on the population characteristics of the community. A study in France found that the highest risk group for primary infection, which occurs more often in areas of low to intermediate seroprevalence, was parous women with comparatively higher incomes, while the risk for nonprimary infection was greatest in women with lower socioeconomic status and was not affected by parity.<sup>10</sup>

# Recurrence of Established Infection vs Reinfection

Little is known about the mechanisms of transmission in nonprimary infection or whether it occurs principally by reinfection or recurrence of established infection. Finding an answer to this question is important for prevention. CMV is a genetically diverse organism, both between and within hosts; genetic diversity can be found in the same person (for example, urine vs saliva). This diversity may contribute to the pathologic potential of the virus, perhaps allowing it to evade established immune mechanisms. Several studies have demonstrated acquisition of antibodies to new polymorphisms on major CMV glycoproteins in women already seropositive, indicating reinfection with different strains. 14,27-30 A study following approximately 200

healthy seropositive women for 2-3 years and periodically testing for viral shedding demonstrated that these women commonly became viruric (83%) and viremic (52%) intermittently. Some women had evidence of reinfection using strain-specific antibody testing, while others did not.<sup>29,30</sup>

Strain-specific seroconversion, however, likely underestimates reinfections, given the genomic diversity of the virus. Additionally, a 2018 study demonstrated multiple episodes of reinfection between breastfeeding infants and mothers without evidence of strain-specific seroconversion, introducing the question of whether an antigenically distinct strain is even necessary for reinfection.<sup>22</sup> Reinfection clearly occurs, as well as reactivation, but an understanding of the frequency and determinants of maternal nonprimary infection is still lacking.

## **CLINICAL MANIFESTATIONS**

In the literature, definitions of symptomatic vs asymptomatic cCMV differ. Rawlinson et al developed consensus recommendations in 2017 after a meeting of experts at the 5th International Congenital Cytomegalovirus Conference in 2015.31 In general, moderately to severely symptomatic cCMV is defined as babies who are infected and who have multiple manifestations or have central nervous system (CNS) involvement; mildly symptomatic cCMV is defined as infants who have one or two isolated manifestations that are mild and transient; asymptomatic cCMV with isolated sensorineural hearing loss (SNHL) is defined as babies who have no apparent clinical symptoms other than hearing loss; and asymptomatic cCMV is defined as infants who have no apparent abnormalities at birth and have normal hearing.31 Approximately 10%-15% of babies with cCMV will be symptomatic at birth, and approximately half of those babies will have permanent sequelae. Of the remaining asymptomatically infected babies, approximately 10%-15% will have permanent sequelae.2

Symptoms associated with cCMV that can present at birth include thrombocytopenia, hepatosplenomegaly, intrauterine growth restriction, hepatitis, CNS and ocular disease, and SNHL.<sup>31</sup> Dogma held that primary infection in pregnancy results in more severe neonatal disease<sup>6</sup>; however, some recent (2006-2013) evidence has introduced questions about this commonly accepted opinion. For example, some studies have shown that hearing loss may be similar in infants born to women who were seropositive or seronegative prior to pregnancy.<sup>32-34</sup> In addition, severity of infection likely depends on timing during pregnancy. CMV transmission is more likely to occur in the third trimester compared to the first, but more severe sequelae are associated with infection earlier in the pregnancy.<sup>35,36</sup>

## **Hearing Loss**

cCMV is the leading nongenetic cause of SNHL in industrialized nations and a leading cause (along with congenital rubella) in low- and middle-income countries.<sup>2,37</sup> Hearing loss may be present at birth in isolation or may be accompanied by other symptoms of the disease.<sup>38</sup> According to a 2014 systematic review by Goderis et al, 1 in 3 children with symptomatic cCMV and 1 in 10 children with asymptomatic cCMV will have hearing loss, and of hearing-impaired children, cCMV is the likely causative agent 10%-20% of the time.<sup>33</sup> However, the articles included in the review varied

widely in outcomes and were primarily from high-income countries.

Hearing loss can be bilateral or unilateral and is often progressive in nature; worsening of and fluctuations in hearing loss are common.<sup>33,39</sup> Hearing loss may also be delayed in onset<sup>40</sup>; one study found that more than half of SNHL caused by cCMV would be missed with an initial newborn hearing screen.<sup>41</sup> Progression and delayed onset of SNHL have been documented up to adolescence; however, the risk of new SNHL beyond 5 years of age appears to be minimal.<sup>39</sup> Risk factors for developing hearing loss include CNS involvement at birth and high levels of CMV DNA in the blood at birth.<sup>42,43</sup>

## **Neurodevelopmental Outcomes**

Neurodevelopmental long-term sequelae are a major concern with cCMV, particularly when the infant is symptomatic at birth. In a study of 160 symptomatic cCMV infants, CNS involvement was found in 52.5%, including microcephaly, seizures, lethargy or hypotonia, poor suck, and neuroimaging findings.<sup>42</sup> In the same study, 35% of 88 tested children had an intelligence quotient (IQ) <70. Another study following 76 symptomatic cCMV patients identified 43% with intellectual disability. 44 A study in Sweden compared 26 children with cCMV identified as the cause for hearing loss with a control group of 13 children with hearing loss secondary to a common genetic mutation.<sup>45</sup> The majority of the children in the cCMV group had balance disturbances (88%), and 4 children had autism spectrum disorders, 2 had attention deficit hyperactivity disorder, and 2 had cerebral palsy compared to no occurrences of these conditions in the control group. This study is limited by its retrospective design and small sample size.

Babies with CNS abnormalities at birth, including microcephaly, are at increased risk for developing long-term neurodevelopmental sequelae.46 Different CNS abnormalities, from mild to severe, are seen in infants with cCMV. One study examined neurologic imaging of babies with cCMV and found germinolytic cysts, lenticulostriate vasculopathy, white matter signal intensity abnormalities, polymicrogyria, periventricular calcifications, white matter cysts, cerebellar hypoplasia, and ventriculomegaly.<sup>47</sup> In this study, the most severe imaging findings were associated with primary infection in the first trimester. A 2017 European expert consensus statement drafted by the European Society for Paediatric Infectious Diseases recommended cranial ultrasound for all infants diagnosed with cCMV and follow-up magnetic resonance imaging (MRI) for any neonates with abnormality on cranial ultrasound. 48 The statement acknowledges that a minority of experts would perform MRI on all cCMVdiagnosed infants, and a majority would perform MRI on infants who are symptomatic. The evidence, however, is limited, and at this time it is unclear if MRI provides any additional benefit to prognosis when used as a first-line imaging modality. However, MRI may demonstrate pathology that is not seen on cranial ultrasound. 49,50

Also of interest are neurodevelopmental sequelae in babies who are asymptomatic at birth. These sequelae, which may be subtle and not discovered until later in life, combined with the lack of routine screening at birth, make robust studies of outcomes difficult to undertake. However, some evidence is promising. Lopez et al followed 89 children who were asymptomatically infected at birth

through 18 years of life, looking at IQ, vocabulary, and academic achievement in math and reading.<sup>52</sup> They found no significant differences between the children who were congenitally CMV infected and had normal hearing and the control group. More studies with carefully matched cases and controls, rigorous neurodevelopmental testing, and diverse settings would be helpful to pick up subtle outcomes in this population.<sup>53</sup>

## **Ocular Outcomes**

Children with cCMV may also have visual sequelae. These outcomes tend to be much less frequent in children with asymptomatic cCMV compared to infants symptomatic at birth.<sup>54</sup> In one study, severe visual impairment was found in 18.2% of children with symptomatic cCMV.<sup>55</sup> The study demonstrated that visual defects are not typically progressive or delayed in onset, unlike SNHL. The most common sequelae were strabismus, chorioretinal scars, cortical visual impairment, nystagmus, and optic nerve atrophy.

## **DIAGNOSIS**

Postnatal diagnosis of cCMV is done preferably via realtime polymerase chain reaction (PCR) of saliva, urine, or both as soon as possible after birth and within 3 weeks after birth. Clinicians should note that testing saliva in the delivery room may increase the risk of false-positives from cervicovaginal secretions; also, saliva should be obtained at least 1 hour after breastfeeding. Any positive PCR should be confirmed with a repeat sample. 10,31 PCR is superior to viral culture for diagnosis, 56,57 and urine is not superior to saliva. 14,58 Diagnosis beyond 3 weeks is challenging given the inability to distinguish between congenital and postnatal acquisition.<sup>31</sup> Postnatal shedding of virus in breast milk is common in seropositive mothers, and one study showed that approximately one-third of breastfeeding infants acquired the virus from their mothers with a mean incubation time of 42 days.<sup>59</sup> The retrospective analysis of dried blood spots as a diagnostic method has been studied, but the sensitivity of this method is low.60,61 Thus, if cCMV is not diagnosed at or shortly after birth, retrospective diagnosis of cCMV is very

Prenatal diagnosis in suspected cases can be made with culture or PCR for CMV of the amniotic fluid from amniocentesis because the virus can be detected in the urine of infected fetuses that is excreted into the amniotic fluid. It lesnard et al followed 237 pregnant women with suspected or confirmed primary CMV and found an overall 80% sensitivity of prenatal PCR of amniotic fluid and 100% specificity. They recommended testing after 21 weeks of gestation and at least 7 weeks after confirmed seroconversion. Testing earlier in pregnancy may decrease sensitivity.

## **TREATMENT**

In 2003, Kimberlin et al demonstrated improved hearing outcomes with intravenous ganciclovir administered for 6 weeks, although most patients demonstrated significant neutropenia. In 2009, Oliver et al showed improved neurodevelopmental outcomes with the same treatment. Similar efficacy was also demonstrated by the use of valganciclovir compared to ganciclovir, allowing for oral treatment with fewer adverse effects. In 2015, another study by Kimberlin et al demonstrated the benefit of treatment for

Table. Recommendations for Monitoring and Follow-Up in Children Being Treated for Congenital Cytomegalovirus<sup>31,48</sup>

#### Monitoring Follow-Up Absolute neutrophil counts weekly for first 6 weeks, at week 8, 1. Ophthalmic examination early in the course of treatment, and then monthly for the duration of therapy with follow-up examinations as recommended by the ophthalmologist Transaminases monthly 2. Audiologic testing at 6-month intervals for the first 3 years of life and annually thereafter through adolescence 3. Developmental assessments beginning at the first year of life on a case-by-case basis Consider therapeutic drug monitoring if viral load increases 1. Hearing every 3-6 months in the first year, every 6 months > 1.0 log<sub>10</sub> during treatment, if toxicity is suspected, or if the until 3 years of age, and every 12 months until 6 years of age patient has an increased risk of toxicity (eg, prematurity, 2. Pediatric infectious disease annual visits until at least 2 years abnormal renal function). of age Not evidence-based, and not consensus: Consider viral load 3. Neurodevelopmental assessment at 1 year of age in a child every 2-4 weeks while on therapy. development service 4. Ophthalmic assessment directed by ophthalmologist but at a minimum, a baseline review and annually up to 5 years of

6 months vs 6 weeks, specifically in total ear hearing and neurodevelopmental scores at 24 months.<sup>66</sup> All of these studies only included infants with a gestational age of 32 weeks or more; data on preterm infants are lacking.

All studies were done in infants with symptomatic cCMV, including infants with isolated hearing loss. While infants with symptomatic disease clearly benefited from treatment, the studies were not sufficiently powered to assess the benefit specifically in infants with isolated hearing loss. Thus, the consensus recommendations published in 2017 stated that the evidence to treat infants with only hearing loss was not sufficient.<sup>31</sup> A study published in 2018 by Pasternak et al showed benefit for this group of children, with many infants demonstrating improved hearing on treatment.<sup>67</sup> This study did not have a control group, however, and while the results are promising, they must be interpreted with caution.

The following recommendations are drawn from the consensus recommendations published in 2017 and drafted by an informal International Congenital Cytomegalovirus Recommendations Group convened at the 5th International Congenital Cytomegalovirus Conference in 2015, the 2017 European expert consensus statement drafted by the European Society for Paediatric Infectious Diseases, and the American Academy of Pediatrics Red Book. 31,48,68 The general agreement is to treat infants who are moderately to severely symptomatic at birth, and many experts also recommend treating infants with hearing loss only. Treatment consists of oral valganciclovir at a dose of 32 mg/kg/day divided twice daily (16 mg/kg/dose) for a duration of 6 months. If oral treatment is not possible, ganciclovir may be given intravenously at a dose of 12 mg/kg/day divided twice daily. Adverse effects with valganciclovir are less common than with ganciclovir. Significant neutropenia occurs in approximately two-thirds of babies treated with ganciclovir and approximately one-fifth of babies treated with valganciclovir. 63,66 Hepatotoxicity and thrombocytopenia may also be observed, especially with ganciclovir.69

Long-term side effects are not well studied in infants treated with ganciclovir or valganciclovir; a theoretical risk of gonadotoxicity and carcinogenicity has been suggested by animal studies only. Monitoring for adverse effects is warranted, with a proposed strategy suggested by the consensus statements outlined in the Table. 31,48

As our understanding of CMV develops, novel therapeutics will be developed. One example is a drug the US Food and Drug Administration approved in 2017 for the prevention of CMV infection in patients undergoing stem cell transplant. Letermovir is unique in that the mechanism of action targets the CMV terminase complex instead of the viral DNA polymerase that current drugs target. <sup>70,71</sup> While letermovir is not approved for use in cCMV and dosing is not available, novel ways to approach treatment for these infants are on the horizon.

## **SCREENING**

age

The 2017 consensus recommendations developed following the 5th International Congenital Cytomegalovirus Conference in 2015 state that consideration should be given to universal neonatal CMV screening to enable early detection of cCMV.31 Currently, no countries in the world have established universal cCMV screening, although legislative efforts in a number of states in the United States are growing, and select states (Connecticut, Utah, Iowa) require screening for infants who fail their newborn hearing screen, known as targeted screening. 40,72,73 While targeted screening will identify many infected infants, a number of infants will have delayed-onset hearing loss, and therefore their diagnoses will be missed. Universal screening would allow for careful monitoring of audiologic or neurodevelopmental sequelae that could then be treated with antivirals, developmental resources, or devices to aid hearing. 40,41,74 A 2016 costeffectiveness analysis by Gantt et al concluded that both targeted and universal screening would result in net savings, assuming an improvement in hearing outcomes with antiviral therapy given to infants with clinical manifestations at birth,

as well as benefits from earlier interventions in infants with hearing loss.<sup>75</sup>

## **PREVENTION**

## Hyperimmune Globulin

One major attempt at cCMV prevention has been the administration of hyperimmune globulin (HIG) to women primarily infected with the virus in pregnancy. Some animal and human studies have shown favorable outcomes in decreasing infection rates and severity of infection in cases of primary infection; however, a large 2014 randomized controlled trial, while showing trends of benefit, did not achieve statistical significance. <sup>31,76-78</sup> Consequently, HIG is not currently the standard of care for primary or nonprimary CMV infection in pregnancy.

## **Behavioral Changes**

Given the understanding that young children are the most common likely vector of infection in primary CMV, several groups have investigated how behavioral changes may impact the risk of infection with somewhat encouraging results. Revello et al instructed an intervention group of seronegative pregnant women in Italy to wash their hands frequently; avoid intimate contact such as kissing the child on the mouth or cheek; and avoid sharing of utensils, food, drinks, and washcloths.16 In the intervention group, 1.2% (4/331) of the women seroconverted, while 7.6% (24/315) seroconverted in the control group. The study also included a questionnaire, and the survey results showed that women felt lack of time was a barrier to following the recommendations, but knowledge of risks was important to them; furthermore, 93% of responders felt the interventions were worth suggesting to all pregnant women. A large study in France looked at the rate of congenital infection in an obstetric practice before and after implementation of similar educational recommendations and found a smaller rate of seroconversion after exposure to the education at 12 weeks of gestation (0.19%) compared to education before the 12-week visit (0.42%).17 This study, however, lacked a true control group, which made it difficult to control for confounders.

Among the public, knowledge about cCMV and behavioral preventive efforts is not high, and education is not commonly performed in obstetric clinics. Several surveys in the United States have shown low rates of awareness; 9-81 Doutre et al found in 2016, for example, that approximately 9% of women and approximately 5% of men were aware of cCMV. A 2009 survey of 305 members of the American College of Obstetricians and Gynecologists revealed that fewer than 50% reported counseling about cCMV, and even fewer described discussing specific behavioral measures. Most women queried in one of the surveys published by Ross et al in 2008 responded that undertaking the recommended actions to help prevent transmission would be easy. A sof November 2018, 9 states had mandated some form of education regarding cCMV, with further legislation proposed.

## Vaccine Development

Given the ubiquity of CMV in the environment and its substantial burden of infection, a vaccine against the virus would be an optimal tool for preventing congenital infections. A campaign to find an effective vaccine has been in process since the 1970s; significant advances have been made but no vaccine is currently commercially available. <sup>84</sup> The vaccine development process has been challenging given the significant genetic diversity of the virus and its ability to evade immune mechanisms. Both T cell and antibody responses appear to be necessary to prevent congenital infection. <sup>85-87</sup>

Initial vaccine studies began with attenuated laboratory strains of the virus (AD169 and Towne strains), but these could not match the levels of naturally acquired immunity. Begin conferring immunity, including adjuvanted recombinant protein vaccines, vaccines using viral vectors or based on virus-like particles, or replication-impaired or replication-defective vaccines, and contemporary platforms such as dense body vaccines. The major targets have been viral glycoproteins, initially gB, but then later gH/gL followed by a glycoprotein complex of major interest, the pentameric complex gH/gL/UL128/130/131. B4,88,89 Additionally, a major structural protein, pp65, is also a target, with one study showing that women with primary infection who did not transmit the virus to their infants tended to have more robust T cell responses to pp65. Br

At this time, the optimal vaccine targets are unclear. Some argue that women of childbearing age should be vaccinated, while others argue that vaccinating the primary vector—young children—would confer optimal benefit.<sup>88</sup> In 2014, Lanzieri et al described a mathematical model of pathogen transmission that showed targeting young children and adolescents would have the greatest impact in terms of decreasing the rates of cCMV.<sup>90</sup> As progress is made toward realistic CMV vaccine development, an optimal goal is to confer immunity to CMV seronegative women and to also boost the immunity in CMV seropositive women where the burden of the disease is greatest.

## CONCLUSION

cCMV is found in all communities around the world and has a disease burden that is greater than many better-known diseases. While the majority of infants are born without symptoms, some of those infants develop hearing loss, making cCMV the most common nongenetic cause of hearing loss in the United States and one of the most common causes in the world. Infants symptomatic at birth often develop long-term sequelae, including neurodevelopmental deficits. Advances are being made in prevention and treatment; however, improved awareness of the disease among clinicians and patients is needed.

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